



Directorate/ Project Risks:                    ASC Deferred Payment Agreements Policy

Ref	Risk Title	Risk description	Risk Owner	Service/ Workstream Area	Current Measures in Place to Manage Risk	Current Risk Score			What else do we need to do / Further actions required to manage the Risk	Responsibility for Action	Date for completion of Action(s)	Target Risk Score			Business plan/ project priority
						Impact	Likelihood	Total				Impact	Likelihood	Total	
001	Legal challenge to areas of ambiguity within current policy and practice and implementation of proposed revisions	Challenge to current practice (outdated references, ambiguity, not matching current practice or public information). Includes challenges based on previous decisions by ASC	Finance	Deferred Payment Agreements Project	Areas for clarification are included within the policy and associated Cabinet report. Unless it is decided that these require public consultation, they can be implemented immediately, but if consultation is required it will not be until January 2023. This risks some challenge	2	2	4	Once fully implemented as policy and associated practice, the risk of challenge will be reduced. It is critical that ASC staff understand and implement the new policy and practice so training and communication will be essential			2	1	2	
002	Cabinet reject policy proposals	Cabinet do not approve the changes that resolve potential ambiguities and poor practice	Finance	Deferred Payment Agreements Project	The Cabinet paper sets out the policy proposals that the changes will deliver. If Cabinet reject the proposals, issues may remain outstanding for a longer period, increasing the risk of challenge.	1	2	2	If further changes are required, they can be delivered, albeit delaying implementation			1	1	1	
003	Increased demands on staffing	Increase in workload arising from new policy or from government funding reform	Finance	Deferred Payment Agreements Project	The changes as a whole could increase the demands on staffing very slightly in requiring on-going monitoring, but this is not considered significant. The increase of capital limits proposed by the Government funding reforms is more likely to generate additional demands, but again this is not forecast to be significant.	1	2	2	Assuming appropriate software to manage these agreements is available, it will remain a service that only incurs any significant staff input when a new agreement is set-up			1	1	1	

